



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit:

Examiner:

In re application of:

KOHEI NAKAMURA

Serial No.:

10/518,684

Filed: December 17, 2004

For:

FLAT VALVE DEVICE

REQUEST FOR CORRECTION OF FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Upon review of the Filing Receipt in connection with the above-identified application, a copy of which is enclosed, two (2) errors and omissions were noted as indicated on the enclosed marked-up copy.

Firstly, please associate this application with Correspondence Customer number 3713 and note that the "Power of Attorney" in the Filing Receipt should include H. Henry Koda, Reg. No. 27,729, and William L. Androlia, Reg. No. 27,177, who were omitted, and should be added. Please refer to the enclosed Declaration & Power of Attorney form included for your reference.

Secondly, the number of drawings listed on the filing receipt is incorrect. Instead of 15 sheets of drawings, 21 sheets of drawings were submitted. Enclosed for your reference is the first page of the transmittal sheet which reflects the number of drawing sheets submitted.

It is, therefore, respectfully requested that the Patent Office issue a corrected Filing Receipt indicating the above changes accordingly.

Respectfully submitted,

KODA & ANDROLIA

William L. Androlia Reg. No. 27,177

2029 Century Park East **Suite 1140** Los Angeles, CA 90067-2983

Tel:

(310) 277-1391

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Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450.

Alexandria, VA 22313-1450, on

September 9, 2005

Date of Deposit

William L. Androlia

Signature

Date



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Sox 1459 Alexandria, Virginia 22313-1450 www.uspto.gov

FILING OR 371 APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO DRAWINGS TOT CLMS IND CLMS (c) DATE 12/17/2004 3751 630 10/518,684 259A 3659 PCT 6

> Please change to 21 drawings **CONFIRMATION NO. 6611**

003713 **KODA & ANDROLIA** 2029 CENTURY PARK EAST **SUITE 1140** LOS ANGELES, CA 90067

RECEIVED Mr 3 8 3002

KODA & ANDROLIA

FILING RECEIPT OC000000016531696

Date Mailed: 07/20/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kohei Nakamura, Chitose, JAPAN;

Power of Attorney: None

H. Henry Koda, Reg. No. 27,729 and William L. Androlia, Reg. No. 27,177

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/08210 06/11/2004

Foreign Applications

JAPAN 2003-168506 06/12/2003

Projected Publication Date: 10/20/2005

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Flat valve device

Preliminary Class

251

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).





SIGNATURE OF INVENTOR 3

DATE

DECLARATION and POWER OF ATTORNEY .

☑ Declaration Submitted With Initial Filing
 ☐ Declaration Submitted after Initial Filing
 (surchame 37 CFR 1 16(e)) required)

APPLICATION NUMBER Japan 2003-168506 June/12/2003 ☑ Yes ☐ No POMER OF ATTORNEY: As a named Inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and transact all business in the Patent Trademark Office connected therewith. WILLIAM L ANDROLIA, Reg. No. 27,177; H. HENRY KODA, Reg. No. 27,729. Direct all correspondence to: KODA & ANDROLIA 2029 Century Park East. Suite 1430 Los Angeles, CA 90067-3024 (Please Print) Name of Inventor KOHEI NAKAMURA Post Office Address 17-5, Fukuzumi1-chome, Chitose-shi, Hokkaido, 066-0053, Japan Name of Inventor Residence: CITY STATE or COUNTRY Post Office Address CITIZEN Name of Inventor Residence: CITY STATE or COUNTRY The post Office Address CITIZEN Name of Inventor Residence: CITY STATE or COUNTRY The post Office Address CITIZEN Name of Inventor Residence: CITY STATE or COUNTRY The post Office Address CITIZEN Name of Inventor Residence: CITY STATE or COUNTRY The post Office Address CITIZEN Name of Inventor Residence: CITY STATE or COUNTRY The post Office Address CITIZEN Name of Inventor Residence: CITY STATE or COUNTRY The post Office Address CITIZEN Name of Inventor Residence: CITY STATE or COUNTRY		LJ Declaration Submitted after Initial Filing (surcharge 37 CFR 1.16(e)) required)							
the specification of which is attached hernto unless the following box is checked: FLAT VALVE DEVICE	l her	eby declare that:							
the specification of which is attached hereto unless the following box is checked: Sams filled oninter112004	Each I beli	n inventor's residence, mailing a eve the inventor(s) named bek	address, and citizenship are as stated below next to be the original and first inventor(s) of the sub	to their name. oject matter which is claimed and for	which a patent is sought on the invention entitled:				
Was filed onincert_172094	FLAT VALVE DEVICE								
In levely state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically ref to above. In levely state five the very disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications. material information which became available between the filing date of the prior application and the national or PCT international filing date of the conflictation-in-part applications. The prior application is controlled by the part of the conflictation of the conflictation of the conflictation of PCT international filing date of the conflictation. The part of the conflictation of the conflictation of the part of the part of the conflictation of the part of the	the specification of which is attached hereto unless the following box is checked:								
I hereby state that I have reviewed and understand the contents of the above identified specification, including the columns, as amended by any amendment specifically reforably to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation—part applications, material information which became a valiable between the filing date of the prior application and the national or PCT international filing date of the continuation—in-part application. I hereby claim foreign priority benefits under 35 L.S.C. 119(a)-(d) or (f), or 355(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), and so the continuation of the priority is claimed. PCT international application in the designated at least on cercularly other than the United States of America, Bottow and have also identified believe that or application on which priority is claimed. PRIOR FOREIGN APPLICATION(s) PRIOR FOREIGN APPLICATION(s) PRIOR FOREIGN APPLICATION(s) APPLICATION NUMBER DATE OF FILING Month Day Year 35 U.S.C. 119 Japan 2003-168506 June 1/2/2003 PRIOR FOREIGN APPLICATION(s) POWER OF ATTORNEY: As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and transact all business in the Patent Traditional Office connected theretwell. PRIOR FOREIGN APPLICATION INTERNATION APPLICATION		and was amended on							
tacknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part application, material informativity became available between the filing date of the prior application and the national of PCT intermisonal filing date of the continuation-in-part application. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 35(b) of any foreign application(a) for a plant investigation and provided in any foreign application of the continuation-in-part application. PRIOR FOREIGN APPLICATION(s) PRIOR FOREIGN APPLICATION(S) PRIOR FOREIGN APPLICATION(S) PRIOR FOREIGN APPLICATION(S) APPLICATION NUMBER APPLICA	to ab	eby state that I have reviewed a	and understand the contents of the above identifie						
COUNTRY APPLICATION NUMBER DATE OF FILING Month Day Year 35 U.S.C. 119 Japan 2003-168506 June/12/2003 ⊠ Yes □ No POWER OF ATTORNEY: As a named Inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and transact all business in the Palent Trademark Office connected therewith. WILLIAM L. ARDROLIA, Reg. No. 27,177; H. HENRY KODA, Reg. No. 27,729. Direct all correspondence to: KODA & ANDROLIA 2029 Century Park East. Suite 1430 Los Angeles, CA 90067-3024 (Please Print) Residence: CITY STATE or COUNTRY Japan Name of Inventor KOHEI NAKAMURA Chitose-shi, Hokkaido, 066-0053, Japan Name of Inventor Residence: CITY STATE or COUNTRY Post Office Address 17-5, Fukuzumi1-chome, Chitose-shi, Hokkaido, 066-0053, Japan Name of Inventor Residence: CITY STATE or COUNTRY Post Office Address CITY STATE or COUNTRY Name of Inventor Residence: CITY STATE or COUNTRY	which I here 365(a check	n became available between the by claim foreign priority benefi a) of any PCT international app king the box, any foreign applic	e filing date of the prior application and the nationa ts under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of a lication which designated at least one country othe ation for patent, inventor's or plant breeder's right	al or PCT international filing date of th my foreign application(s) for patent, in er than the United States of America.	ne continuation-in-part application. Inventor's or plant breeder's rights certificate(s), or Iisted below and have also identified below, by				
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POMER OF ATTORNEY: As a named Inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and transact all business in the Patentrademark Office connected therewith. WILLIAM L. ANDROLIA, Reg. No. 27,177; H. HENRY KODA, Reg. No. 27,729. Direct all correspondence to: KODA & ANDROLIA 2029 Century Park East. Suite 1430 Los Angeles, CA 90067-3024 (Please Print) Name of Inventor Name of Inventor Name of Inventor Post Office Address Name of Inventor Residence: CITY STATE or COUNTRY Post Office Address CITIZEN Name of Inventor Residence: CITY STATE or COUNTRY STATE or COUNTRY The post Office Address CITIZEN Name of Inventor Residence: CITY STATE or COUNTRY STATE or COUNTRY The post Office Address CITIZEN Name of Inventor Residence: CITY STATE or COUNTRY STATE or COUNTRY The post Office Address CITIZEN Name of Inventor Residence: CITY STATE or COUNTRY STATE or COUNTRY The post Office Address CITIZEN Name of Inventor Residence: CITY STATE or COUNTRY		COUNTRY	APPLICATION NUMBER		PRIORITY CLAIMED UNDER 35 U.S.C. 119				
Trademark Office connected therewith. WILLIAM L. ANDROLIA, Reg. No. 27,177; H. HENRY KODA, Reg. No. 27,729. Direct all correspondence to: KODA & ANDROLIA 2029 Century Park East. Suite 1430 Los Angeles, CA 90067-3024 (Please Print) Name of Inventor KOHEI NAKAMURA Residence: CITY Post Office Address Name of Inventor Residence: CITY Post Office Address CITIZEN Name of Inventor Residence: CITY STATE or COUNTRY STATE or COUNTRY CITIZEN Residence: CITY STATE or COUNTRY STATE or COUNTRY CITIZEN Name of Inventor Residence: CITY STATE or COUNTRY Residence: CITY STATE or COUNTRY Residence: CITY STATE or COUNTRY Residence: CITY Name of Inventor Residence: CITY STATE or COUNTRY		Japan	2003-168506	June/12/2003	🛛 Yes 🔲 No				
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Name of Inventor KOHEI NAKAMURA Post Office Address 17-5, Fukuzumi1-chome, Chitose-shi, Hokkaido, 066-0053, Japan Name of Inventor Residence: CITY STATE or COUNTRY STATE or COUNTRY Post Office Address CITIZEN Name of Inventor Residence: CITY STATE or COUNTRY The country STATE or COUNTRY STATE or COUNTRY CITIZEN Name of Inventor Residence: CITY STATE or COUNTRY STATE or COUNTRY Residence: CITY STATE or COUNTRY The country STATE or COUNTRY Residence: CITY STATE or COUNTRY The country STATE or COUNTRY STATE or COUNTRY Residence: CITY STATE or COUNTRY The country STATE or COUNTRY			2029 Century Park East. Suite 1430	Direct telephone calls to:					
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4	3	Post Office Address			CITIZENSHIP				
		Name of Inventor		Residence: CITY	STATE or COUNTRY				
	4	Post Office Address			CITIZENSHIP				
I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further the these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 are that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.	hese s	tatements were made with the	knowledge that willful false statements and the like	e so made are punishable by fine or i	and belief are believed to be true; and further that imprisonment, or both, under 18 U.S.C. 1001 and				
SIGNATURE OF INVENTOR 2 SIGNATURE OF INVENTOR 2									
DATE NOV / 22 / 2004 DATE	DATE 101/22/2004 DATE								

SIGNATURE OF INVENTOR 4

DATE

FORM PTO-1390 U.S. DEPARTMENT OF COMMENCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOC (REV. 10-2003) 250 A 26									
İ	TRANSMITTAL LETTER	· · · · · · · · · · · · · · · · · · ·	259A 3659 PCT						
	DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 U.S. APPLICATION NUMBER (if known, see 37 C.F.R. 1.5)								
INTERN	NATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED						
	PCT/2004/008210	11 June 2004	12 June 2003						
TITLE	TITLE OF INVENTION FLAT VALVE DEVICE								
	APPLICANT(S) FOR DO/EO/US KOHEI NAKAMURA								
Applicar	nt herewith submits to the United States Des	signated/Elected Office (DO/EO/US) the following i	items and other information:						
1. 🛛	This is a FIRST submission of items con	oncerning a filing under 35 U.S.C. 371.	•						
2. 🗆		submission of items concerning a filing under 35 U	J.S.C. 371.						
3. 🛛		nal examination procedures (35 U.S.C. 371(f)). The							
4.	The US has been elected (Article 31).								
5. 🛛	A copy of the International Application a								
	_	only if not transmitted by the International Bureau).							
		he International Bureau. ation was filed in the United States Receiving Office	<u>~</u> (ΦΟ/ΠΕ)						
6. 🖾		nternational Application as filed (35 U.S.C. 371(c)(2							
	a. 🛛 is attached hereto								
_	b. has been previously submitted	* * * * * * * * * * * * * * * * * * * *							
7. 🛛		ational Application under PCT Article 19 (35 U.S.C.							
ı	a. are attached hereto (required of the communicated by t	only if not communicated by the International Burea the International Bureau.	au).						
		the international Bureau. r, the time limit for making such amendments has No	IOT expired.						
	d. A have not been made and will r								
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9.		An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10.	An English language translation of the an 371(c)(5)).	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 11 to 19 below concern document(s) or information included:									
11.		An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.							
12.		An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.							
13.	A preliminary amendment.								
14. 🖾	An Application Data Sheet under 37 CFR	1.76.							
15.	A substitute specification.								
16.	A power of attorney and/or change of address letter.								
17.	A second copy of the published international application under 35 U.S.C. 154(d)(4).								
18. 🗆	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								
19. 🛛	9. A Other items or information: a. Twenty-one (21) sheets of drawings								
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